TRANSMITTAL LETTER (General - Patent Pending)

Docket No. 11375Z

In Re App	lication Of:
75	UEW

Andrea M. Douglas, et al.

Serial	No.
09/819	.097

Filing Date March 5, 2001

Examiner Unassigned **Group Art Unit** Unassigned

Title: CYTOKINES AND THEIR USE IN TREATMENT AND/OR PROPHYLAXIS OF BREAST CANCER

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Response to the Notice to Comply dated October 2, 2001;

Copy of the Notice to Comply;

Paper Copy of Sequence Listing;

Diskette containing computer readable copies of Sequence Listing; and

Statement Under 37 CFR 1.821(f)

in the above identified application.

- No additional fee is required. \mathbf{X}
- A check in the amount of

is attached.

- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
 - Charge the amount of
 - \boxtimes Credit any overpayment.
 - Charge any additional fee required. X

Dated: Dec. 3, 2001 (Monday)

Leopold Presser

Registration No./19,827

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Garden City, New York 11530

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Assistant

20231.

on 12/3/01 (Mon)

first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, Washington, D.C.

Signature of Person Mailing Corresponden

certify that this document and fee is being deposited

Mishelle Mustafa

Typed or Printed Name of Person Mailing Correspondence

FSD/PIB/JAM:sf

CC:

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Andrea M. Douglas, et al.

Examiner:

Unassigned

Serial No.:

09/819,097

Art Unit:

Unassigned

Filed:

March 5, 2001

Docket:

11375z

For:

CYTOKINES AND THEIR USE

Dated:

December 3, 2001 (Monday)

IN TREATMENT AND/OR PROPHLAXIS

OF BREAST CANCER

Assistant Commissioner for Patents Washington, DC 20231

Response to Notice to Comply under 37 C.F.R.§1.821

Sir:

In response to the Notice to Comply with Requirements for Patent Applications
Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures dated October 2,
2001 and in accordance with the provisions in 37 C.F.R. §1.821, Applicants submit herewith an
initial paper and an initial computer readable copy of the Sequence Listing, along with a
Statement Under 37 C.F.R. §1.821(f), stating that these copies are identical. A copy of the

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on December 3, 2001 (Monday).

Dated: December 3, 2001 (Monday)

Tichelle Mustafa

Notice to Comply is also enclosed. Applicants respectfully submit that the content of the initial paper and initial computer copies of the sequence listing do not introduce new matter.

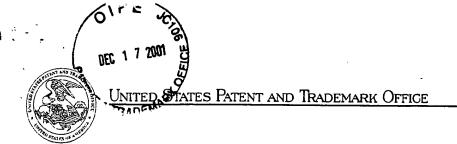
Respectfully submitted,

Leopold Presser

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/819.097

400 Garden City Plaza

Garden City, NY 11530

Scully, Scott, Murphy & Presser

03/05/2001

Andrea Margaret Douglas

11375Z

CONFIRMATION NO. 6127

FORMALITIES LETTER

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Date Mailed: 10/02/2001

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

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